

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
D-155

In Re Application Of: **MICHAEL D. BRADFIELD**

Serial No.
NYA

Filing Date
11/14/2003

Examiner
NYA

Group Art Unit
NYA

Title: **TWIN COIL CLAW POLE ROTOR WITH STATOR PHASE SHIFTING FOR
ELECTRICAL MACHINE**

Address to:
**Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
D-155

In Re Application: **MICHAEL D. BRADFIELD**

Serial No.
NYA

Filing Date
11/14/2003

Examiner
NYA

Group Art Unit
NYA

**TWIN COIL CLAW POLE ROTOR WITH STATOR PHASE SHIFTING FOR
ELECTRICAL MACHINE**

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☐ The Director is hereby authorized to charge and credit Deposit Account No. _____ as described below.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☐ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F:

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on _____ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Certificate

***This certificate may only be used if paying by deposit account.**



Signature

James J. Merrick

Reg. No. 43,801

Cantor Colburn LLP

55 Griffin Road South

Bloomfield, CT 06002

860-286-2929

Customer Service No. 23413

Dated: November 14, 2003

CC:

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)

D-155

Application Number

NYA

Applicant(s)

MICHAEL D. BRADFIELD

Filing Date

N vember 14, 2003

Group Art Unit

NYA

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	1	4,201,930	05/06/1980	Inagaki et al.	310	51	07/07/1978
	2	US 6,509,660 B1	01/21/2003	Asao	310	63	11/14/2000
	3	US 2003/0006667 A1	01/09/2003	Buening et al.	310	257	07/06/2001
	4	US 2003/0057789 A1	03/27/2003	Buening et al.	310	179	09/21/2001
	5	US 2003/0107287 A1	06/12/2003	Nishimura et al.	310	180	12/04/2002

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.